

Docket No.: 3053-065

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Christopher J. Cookson et al.

Serial No.

10/717,704

Examiner:

DANIELSEN, N. A.

Filed.

November 19, 2003

**Group Art Unit:** 

2627

For

A PLAYER WITH ROTATIONAL CONTROL FOR

DOUBLE-SIDED OPTICAL DISCS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the duty of disclosure under 37 CFR §§ 1.56, 1.97, and 1.98, applicant submits herewith a Supplemental Information Disclosure Statement (IDS) accompanied by the Form PTO-SB/08A with the prior art references listed therein. Please note that these references were cited in the International Search Report (ISR) issued by the US/International Searching Authority in the counterpart International Application No. PCT/US04/37440 and, further, in the International Search Report (ISR) issued by the US/International Searching Authority in the related International Application No. PCT/US04/37442, respectively. A copy of each International Search Report is attached herewith.

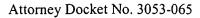
Since the Patent Office has waved the requirement under 37 CFR § 1.98(a)(2)(I) for

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## **CERTIFICATE OF MAILING (37 C.F.R. 1.8a)**

I hereby certify that the attached:

- 1. Supplemental Information Disclosure Statement (2 pages);
- 2. Form PTO/SB/08A (1 page);
- 3. Copy of two ISRs (4 pages);
- 4. Cited References (1 item); and
- 5. Return Receipt Postcard.

(Along with any paper(s) referred to as being attached or enclosed) and this Certificate of Mailing are being deposited with the United States Postal Service on the date indicated below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted GOTTLIEB, RACKMAN & REISMAN, P.C.

Dated: 03, 08. 2007

Zoya V. Chernina

GOTTLIEB, RACKMAN & REISMAN, P.C.

270 Madison Avenue New York, N.Y. 10016-0601 Phone: (212) 684-3900 Facsimile: (212) 684-3999

Docket No.: 3053-065

submitting a paper copy of each cited US Patents and US Patent Application Publication

enclosed herewith are only the copies of non-US Patent documents referenced in this IDS.

Further, because all the documents are in the English language, no concise explanation

of their relevancy is necessary, as pointed out in 37 CFR §1.98 (3)(I), but could be found in the

enclosed above-mentioned International Search Reports.

Inasmuch as the subject application has not yet received a Notice of Allowance under

§ 1.311, this information is believed to be timely filed under 37 C.F.R. 1.97(c). Accordingly,

consideration of the listed references is deemed proper, and therefore respectfully requested.

A check in the amount of \$ 180.00 as set forth in § 1.17(p) to cover a filing fee is enclosed

with this Statement. If any additional fee is required, authorization is hereby given to charge the

amount of any such fee to the Deposit Account No.07-1730, Docket No. 3053-065. A duplicate

copy of this page is attached for that purpose.

Respectfully submitted

GOTTLIEB, RACKMAN & REISMAN, P.C.

New York, N.Y.

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PTO/SB/08A (09-06)

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Substitute for form 1449/PTO

Sheet 1

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

 Complete if Known

 Application Number
 10/717,704

 Filling Date
 November 19, 2003

 First Named Inventor
 Christopher J. Cookson et al.

 Art Unit
 2627

 Examiner Name
 DANIELSEN, N. A.

 Attorney Docket Number
 3053-065

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.¹	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 4,873,679	10.10.1989	Murai et al.	See Intern. Search Report
-		<sup>US-</sup> 5,442,455	08.15.1995	Hioki et al.	See Intern. Search Report
		US- 5,563,859	10.08.1996	Masuda et al.	See Intern. Search Report
		<sup>US-</sup> 6,424,614	07.23.2002	Kawamura et al.	See Intern. Search Report
		<sup>US-</sup> 6,034,934	03.07.200	Miyake et al	See Intern. Search Report
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FOREIGN PATENT DOCUMENTS									
Examiner . Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear				
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			T⁵			
		O 01/18798	03.15.2001	MATSUSHITA ELECTRONIC See Intern.Search Rp					
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Signature	Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.